

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no Persons are required to respond to a collection of information unless it contains a valid OMR control number

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/660,418
Filing Date	10 September 2003
First Named Inventor	MOHAN
Art Unit	2153 2104 2153
Examiner Name	
Attorney Docket Number	0600/96746

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KS	A	us- 5,555,179	09/10/1996	Koyama et al.	
KS	B	us- 5,765,033	06/09/1998	Miloslavsky	
KS	C	us- 5,926,539	07/20/1999	Shtivelman	
KS	D	us- 5,946,387	08/31/1999	Miloslavsky	
KS	E	us- 5,953,332	09/14/1999	Miloslavsky	
KS	F	us- 5,953,405	09/14/1999	Miloslavsky	
KS	G	us- 6,002,760	12/14/1999	Gisby	
KS	H	us- 6,021,428	02/01/2000	Miloslavsky	
KS	I	us- 6,044,145	03/28/2000	Kelly et al.	
KS	J	us- 6,044,368	03/28/2000	Powers	
KS	K	us- 6,067,357	05/23/2000	Kishinsky et al.	
KS	L	us- 6,108,711	08/22/2000	Beck et al.	
KS	M	us- 6,138,139	10/24/2000	Beck et al.	
KS	N	us- 6,167,395	12/26/2000	Beck et al.	
KS	O	us- 6,170,011	01/02/2001	Beck et al.	
KS	P	us- 6,175,563	01/16/2001	Miloslavsky	
KS	Q	us- 6,175,564	01/16/2001	Miloslavsky et al.	
KS	R	us- 6,185,292	02/06/2001	Miloslavsky	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ "Number" ⁴ "Kind Code" ⁵ (if known)				

Examiner
SignatureDate
Considered

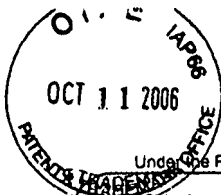
5/20/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.
www.USCourtForms.com



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMR control number

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	10/660,418
Filing Date	10 September 2003
First Named Inventor	MOHAN
Art Unit	2153-2109-2153
Examiner Name	
Attorney Docket Number	0600/96746

U. S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
BS	S	us-6,345,305	02/05/2002	Beck et al.	
BS	T	us-6,389,007	05/14/2002	Shenkman et al.	
BS	U	us-6,373,836	04/16/2002	Deryugin et al.	
BS	V	us-6,393,015	05/21/2002	Shtivelman	
BS	W	us-6,732,156	05/04/2004	Miloslavsky.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				

Examiner
SignatureDate
Considered

5/20/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

American LegalNet, Inc.
www.USCourtForms.com

Substitute for form 1449/APTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/B/06A(10-01)
 Approved for use through 10/31/2002 OMB 601-0031
 US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Complete if Known	
Application Number	10/660,418
Filing Date	September 10, 2003
First Named Inventor	Mohan, Prabhuram
Group Art Unit	2153 2109 2153
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 2046.015US1

d

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS				
Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ¹

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
RS		"How Java to Javascript Communication Works in Java Plug-in", http://web.archive.org/web/20030415182237/http%3A/java.sun.com/products/plugin/1.3/docs/jsobject.html , (4-12-03), 5 pages	
RS		"Javascript for Beginners - JavaScript Security, Same Origin Policy", JavaScript Guide, (unknown), 1 pg	
RS		IDOCs INC., "HTML Code Tutorial - Attribute for HREF equals URL", The Idocs guide to HTML, (Copyright 1997-2002), 1 pg.	
RS		IDOCs INC., "HTML Code Tutorial - Name equals text string", The Idocs guide to HTML, (Copyright 1997-2002), 2 pgs	
RS		MILLER, FRED A., "[Am-info] Opera Frame Location Same Origin Policy Circumvention Vulnerability", http://lists.essential.org/pipermail/am-info/Week-of-Mon-20020520/010139.html , (May 21, 2002), 2 pgs	
RS		RANGANATHAN, ARUN K., "Bypassing Security Restrictions and Signing Code", http://devedge-temp.mozilla.org/viewsource/2002/bypassing-security-restrictions/index_en.html , (Nov. 11, 2002), 2 pages	
RS		RUDERMAN, JESSE, "The Same Origin Policy - Mozilla.org", http://www.mozilla.org/projects/security/components/same-origin.html , (Aug. 24, 2001), 1 pg	
MS		WOYCHOWSKY, EDMOND, "JavaScript security improvements", Builder.com, ZDNET Australia Power Centre Presents: Solve integration with the IBM Business Integration success kit; E. Woychowsky, Builder.com, (April 30, 2003), 3 pgs	

EXAMINER

[Signature]

DATE CONSIDERED

5/20/07

Substitute Disclosure Statement Form (PTO-1449)
 * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/5506A(10-01)
 Approved for use through 10/31/2002 OMB 051-0031
 US Patent & Trademark Office US DEPARTMENT OF COMMERCE

Complete if Known	
Application Number	10/660,418
Filing Date	September 10, 2003
First Named Inventor	Mohan, Prabhuram
Group Art Unit	2153-2109 2153
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 2046.015US1

d

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS				
Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ¹

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
RS		"How Java to Javascript Communication Works in Java Plug-in", http://web.archive.org/web/20030415182237/http%3A/java.sun.com/products/plugin/1.3/docs/sobject.html , (4-12-03), 5 pages		
RS		"Javascript for Beginners - JavaScript Security, Same Origin Policy", JavaScript Guide, (unknown), 1 pg		
RS		IDOCs INC., "HTML Code Tutorial - Attribute for HREF equals URL", The Idocs guide to HTML, (Copyright 1997-2002), 1 pg.		
RS		IDOCs INC., "HTML Code Tutorial - Name equals text string", The Idocs guide to HTML, (Copyright 1997-2002), 2 pgs		
RS		MILLER, FRED A., "[Am-info] Opera Frame Location Same Origin Policy Circumvention Vulnerability", http://lists.essential.org/pipermail/am-info/Week-of-Mon-20020520/010139.html , (May 21, 2002), 2 pgs		
RS		RANGANATHAN, ARUN K., "Bypassing Security Restrictions and Signing Code", http://devedge-temp.mozilla.org/viewsource/2002/bypassing-security-restrictions/index_en.html , (Nov. 11, 2002), 2 pages		
RS		RUDERMAN, JESSE, "The Same Origin Policy - Mozilla.org", http://www.mozilla.org/projects/security/components/same-origin.html , (Aug. 24, 2001), 1 pg		
RS		WOYCHOWSKY, EDMOND, "JavaScript security improvements", Builder.com, ZDNET Australia Power Centre Presents: Solve integration with the IBM Business Integration success kit; E. Woychowsky, Builder.com, (April 30, 2003), 3 pgs		

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	<small>Complete if Known</small>	
	Application Number	10/660,418
	Filing Date	September 10, 2003
	First Named Inventor	Mohan, Prabhuram
	Group Art Unit	2153 2409 2153
	Examiner Name	Unknown
Sheet 1 of 1		Attorney Docket No: 2046.015US1

US PATENT DOCUMENTS				
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate
RS	US-2001/0054064A1	12/20/2001	Kannan, Pallipuram	06/29/1998

FOREIGN PATENT DOCUMENTS				
Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T*
RS	JP-2000041107A2	02/08/2000	Dezanno, Anthony	

OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T*	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

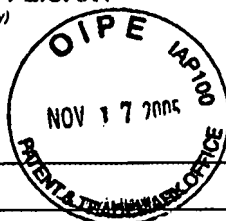
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional); Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/660,418
Filing Date	September 10, 2003
First Named Inventor	Mohan, Prabhuram
Group Art Unit	2153 2109 2153
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 2046.015US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
RS	US-2005/0055458A1	03/10/2005	Mohan, P., et al.	09/10/2003
RS	US-2005/0111653A1	05/26/2005	Joyce, R., et al.	05/27/2003
RS	US-6,430,462	08/06/2002	Lubcke, Bernd, et al.	03/29/2000
RS	US-6,430,602	08/06/2002	Kay, Timothy, et al.	08/22/2000
RS	US-6,778,661	08/17/2004	Yumoto, K, et al.	02/22/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²
	JP-2000041107A2	02/08/2000	Dezonno, Anthony	✓

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
RS		"ActiveBuddy and MessageVine team up to bring interactive agent technology to MessageVine's instant messaging customers", M2 Presswire, (November 14, 2001), 2 pgs	
RS		"Face Time Communications and eLoyalty Partner to Deliver Access to Public Instant Messaging Networks for Call Center", FaceTime Communications Press Release, (August 14, 2001), 2 pgs	
RS		"FaceTime Communications and SRA International, Inc. Partner to Deliver Integrated Solutions for Financial Services Regulatory Compliance", FaceTime Communications Press Release, (November 12, 2001), 2 pgs	
RS		NARAIN, RYAN, "When Software Patents Go Bad", Internetnews.com, (September 13, 2002), 4 pgs	
RS		YUMOTO, K, et al., "Multimedia Call Distribution System", Hitachi Ltd. Japan, (September 8,), 1 pg	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statements Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached